IFW

Please type a plus sign (+) inside this box → PTO/SB/21 (Approved for use through 04/30/2003. OMB 0651 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMM Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control not application Number Application Number 10/748,897 Filing Date December 29, 2003
Approved for use through 04/30/2003. OMB 0651 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMM Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control nu Application Number 10/748,897
UN 0 7 2004 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 10/748,897
Application Number 10/748,897
5W-0 Deta December 20, 2002
TRANSMITTAL First Named Inventor YUN, ANTHONY JOONKYOO
FORM Group Art Unit 1614
(to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned
7otal Number of Pages in This Submission 4 Attorney Docket Number PALO-002
ENCLOSURES (check all that apply)
Fee Transmittal Form Fee Attached Proving(s) Fee Attached Amendment / Reply After Final After Final Aftidavits/declaration(s) Extension of Time Request Provisional Application Power of Attorney, Revocation Change of Correspondence Address After Allowance Communication to Bo of Appeals and Interferences Appeal Communication to Great (Appeal Notice, Brief, Reply Brief) Proprietary Information Request for Corrected Filing Receipt Certified Copy of Priority Documents Response to Missing Parts/ Incomplete Application Response to Missing Parts Under 37 CFR 1.52 or 1.53 Remarks After Allowance Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bo of Appeals and Interferences Appeal Communication to Bro of Appeals and Interferences Appeal Communication to Great Application Provisional Application Provisional Application Request for Corrected Filing Receipt with correction Change of Correspondence Address Other Enclosure(s) (please identify below): Copy of Filing Receipt with correction Provisional Application Request for Corrected Filing Receipt with correction Change of Correspondence Address Other Enclosure(s) (please identify below): Copy of Filing Receipt with correction Appeal Communic
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT
Signing Attorney/Agent (Reg. No.) SUSAN C. TALL. 52.272 BOZICEVIC, FIELD & FRANCIS LLP
Signature
Date June 4, 2004
CERTIFICATE OF MAILING
CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class
in an envelope addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 on this date: June 4, 2004,
Typed or printed name Martha Cisneros Signature Date June 4, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Atty Dkt. No. PALO-002 USSN: 10/748,897

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450. Typed or Printed Name Martha Cisneros Signature Date REQUEST FOR Attorney Docket PALO-002 **CORRECTED FILING** YUN, ANTHONY JOONKYOO **Applicant** RECEIPT 10/748,897 Serial Number December 29, 2003 Filing Date Address to: Mail Stop Group Art Unit 1614 Commissioner for Patents Examiner Name Not Yet Assigned P.O. Box 1450 Alexandria, VA 22313-1450 "TREATMENT OF CONDITIONS THROUGH Title: PHARMACOLOGICAL MODULATION OF THE AUTONOMIC NERVOUS SYSTEM"

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

> Please correct the title from "Treatment of conditions through (1) pharmacological modulation of autonomic nervous system" to read -- Treatment of conditions through pharmacological modulation of the autonomic nervous system -- as indicated on the application.

If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

> Respectfully submitted, **BOZICEVIC, FIELD & FRANCIS LLP**

Susan C. Tall

Registration No. 52,272

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200

Menlo Park, CA 94025 Telephone: (650) 327-3400



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1459 Alexandria, Viginia 22313-1450 www.topto.gov

FILING OR 371 ART UNIT FIL FEE REC'D APPL NO. ATTY.DOCKET NO DRAWINGS **TOT CLMS** IND CLMS (c) DATE 61

10/748,897 / 12/29/2003 1614 754

' PALO-002

CONFIRMATION NO. 7432

24353 BOZICEVIC, FIELD & FRANCIS LLP 200 MIDDLEFIELD RD SUITE 200 MENLO PARK, CA 94025



FILING RECEIPT *OC000000012343654*

Date Mailed: 04/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Anthony Joonkyoo Yun, Palo Alto, CA; Patrick Yuarn-Bor Lee, Piedmont, CA:

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 04/12/2004

Projected Publication Date: 06/30/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Treatment of conditions through pharmacological modulation of autonomic nervous system.

Preliminary Class

A.PR : 9 2004

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).